

# ***United States Special Operations Command*** ***SOF AT&L - AS***

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## **Facility Clearance Process**



### ***The Government Sponsorship***

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# The Government Sponsorship Facility Clearance (FCL)

## ■ What is a Facility Clearance?

- An Administrative Determination that a Company is Eligible for Access to Classified Information from a National Security Perspective
- The Defense Counterintelligence & Security Agency (DCSA) grants FCLs

## ■ Why is a Facility Clearance Needed?

- Allows Companies to Compete for Contracts that Require Access to Classified

## ■ How is a Facility Clearance Obtained?

- Five main areas that are **evaluated to determine** if an **FCL** can be issued
  - Sponsorship must be **Sponsored** by a **Government Contracting Agency** or **Cleared Prime Contractor** in NISP, in Support of or Response to...i.e. Proposal or Solicitation
  - Execution of the **Security Agreement** or DD Form 441
  - Submission of company's **business structure**
  - Company key management personnel (**KMP**) **eligibility** for a Personnel Clearance (**PCL**)
  - Any foreign ownership, control, or influence, or **FOCI**, factors that would affect the contractor



# The Government Sponsorship *Company Requirements*

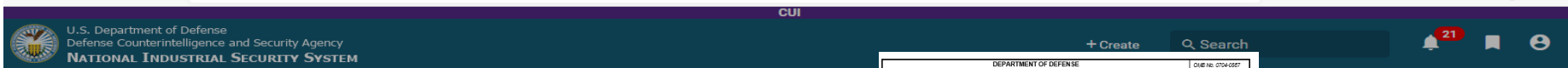
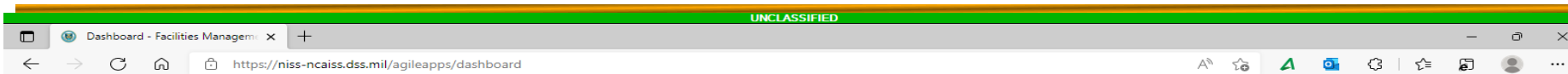
## What You Need.....

- ✓ Legal Name (to Include Alias/DBA/AKA/TA), Address and Website
- ✓ CAGE Code Registered with the Defense Logistics Agency's System for Award Management (SAM) Database (<https://www.sam.gov>)
- ✓ Date & State of Incorporation and Business Structure (Corp, LLC, etc.)
- ✓ Business Information – Type, Product/Service
- ✓ Key Management Personnel (KMP)
- ✓ Facility Security Officer & Alternate FSO Name + Contract Information
- ✓ Number of Employees Supporting the Contract
- ✓ Known Foreign Ownership or Control **Information (FOCI) Issues**

**Incomplete or Inaccurate Information May Lead to Increased Processing Times or Rejection – Ensuring All Required Information and Documentation is Provided will Increase Likelihood of Sponsorship Request Acceptance**



# The Government Sponsorship National Industrial Security System



New Sponsorship Submission

✓ DD FM 254, Contract Security Classification Specification

✓ Associated Work Performance Description Document

- Cooperative Research & Development Agreement
- Performance Work Statement
- Statement of Work
- Classified Request for Proposal or Solicitation

DEPARTMENT OF DEFENSE CONTRACT SECURITY CLASSIFICATION SPECIFICATION

DD FORM 254, APR 2018

1. CLEARANCE AND SAFEGUARDING	
1. LEVEL OF FACILITY SECURITY CLEARANCE (PFC) REQUIRED (See instruction 1)	2. LEVEL OF INFORMATION REPORT (TERMINAL) REQUIRED AT CONTRACTOR FACILITY
TOP SECRET	NONE
2. THIS SPECIFICATION IS FOR: (X) as contract or baseline	3. THIS SPECIFICATION IS: (X) as contract or baseline
a. PRIOR CONTRACT NUMBER (See instruction 2) 182212-XX-X-XXXX	a. ORIGINAL (Complete only if at issue) DATE (YYYYMMDD) 20180321
b. SUBCONTRACT NUMBER	b. REVISED (Complete if previous specifications) REVISION NO. DATE (YYYYMMDD)
c. SOLICITATION OR OTHER NUMBER DUE DATE (YYYYMMDD)	c. FINAL (Complete only if at issue) DATE (YYYYMMDD)
4. IS THIS A FOLLOW-ON CONTRACT? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes (Yes, complete the following)	5. IS THIS A FINAL DD FORM 254? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes (Yes, complete the following)
Classified material received or generated under	Classified material received or generated under
In response to the contractor's request dated	Retention of the classified is authorized for the period of
6. CONTRACTOR (Include Complete and Sufficient AIC) (See instruction 6)	7. SUBCONTRACTORS (See instruction 7)
ACIB Widgets and Men, LLC 7200 Penny Yarns Street, Suite 1 Tampa, FL 33602	12345 Defense Security Service (DSS)M 274 Office Park Place, Ste. 203 Melbourne, FL 32909 321-610-8870
8. ACTUAL PERFORMANCE (See instruction 8) (Complete if previous DD FORM 254)	9. GENERAL UNCLASSIFIED DESCRIPTION OF THIS PROCUREMENT (See instruction 9)
HQ USSOCOM/SOF AT&L-X MacDill AFB, FL	N/A HQ USSOCOM SOCS-S-DM 7701 Tampa Point Blvd MacDill AFB, FL 33621 813-826-4533

Sponsor Information

ble NISPOM requirements.

soring Government Contracting

ay result in discontinuation of the most recent version.

ter at 888-282-7682 (option 3) if

**SOCOM WIDGET Mission Need Statement of Work (SOW) Revision 1**

The requirements specified in this SOW support the U.S. Special Operations (SOF) units. USSOCOM, headquartered at MacDill Air Force Base, Tampa, FL, is a unified command in 1986 by Congress to address concerns regarding the status of U.S. defense planning.

1.1 USSOCOM is comprised of a headquarters, four Components, and a sub-unified command (Joint Special Operations Command (JSOC)). The USSOCOM Components are the U.S. Army Special Operations Command (USASOC), Naval Special Warfare Command (NAVSPECWARCOM), Air Force Special Operations Command (AFSOC) and Marine Corps Special Operations Command (MARSOCC).

1.2 USSOCOM vests additional command and control responsibilities in Theater Special Operations Commands (TSOCs) which are special operational headquarters elements designed to support operations logistics, planning, and operational control requirements. USSOCOM has the primary responsibility to organize, train, and equip TSOCs. The TSOCs are:

- Special Operations Command South (SOCSOUTH), Homestead Air Force Base, FL
- Special Operations Command Africa (SOCAFRICA), Stuttgart, Germany
- Special Operations Command Europe (SOCEUR), Stuttgart, Germany
- Special Operations Command Central (SOCCENT), MacDill Air Force Base, FL
- Special Operations Command Pacific (SOCPAC), Camp Smith, HI
- Special Operations Command Korea (SOCKOR), Yangsan, Korea
- Special Operations Command Northern Command (SOCNORTH), Peterson Air Force Base, CO

1.3 The acronym USSOCOM, as used in this SOW, applies to any and all USSOCOM Headquarters organizations, Components, JSOC, and TSOCs, as well as any future entity which USSOCOM may establish to support the Command's mission.

2.0 Objective. The objective of this SOW is to obtain WIDGETS in support of global Special Operations Forces (SOF).

2.1 Standards and Guidelines. The Contractor shall meet all requirements and responsibilities in support of USSOCOM and shall ensure quality work performance in accordance with the SOW.

2.2 Contractor Requirements and Responsibilities. The Contractor shall provide all personnel and supervision necessary to perform the services.

3.0 Scope of Support. This SOW identifies requirements that will provide support to the Government in the following areas: Education and Training Services, Management Support Services, and Administrative & Other Services (non-SOF AT&L).

4.0 Requirements. Specific requirements will be identified at the task order level.

The Defense Counterintelligence and Security Agency (DCSA) reminds you that a strong cyber security program is essential.

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# The Government Sponsorship Company Actions After Submission

<https://www.dcsa.mil/mc/isf/fc/>



DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

ABOUT US MISSION CENTERS INFORMATION SYSTEMS CAREERS CONTACT US

- Industrial Security Directorate
- Security Officers
- Field Locations
- National Industrial Security Program (NISP)
- NISP Authorization Office
- Facility Clearances
- Foreign Ownership, Control or Influence (FOCI)
- Controlled Unclassified Information (CUI)

## Facility Clearance

### Overview

Entities (including companies and academic institutions) engaged in providing goods or services to the U.S. government access to or creation of classified information may be granted a Facility Clearance (FCL). Mandated by the guidelines of the National Industrial Security Program (NISP), the Defense Counterintelligence and Security Agency (DCSA) processes and monitors the continued eligibility of entities for an FCL.

After a determination by DCSA that an entity has a legitimate need for access to classified information in connection with Government or foreign government requirement, an entity may be sponsored for an FCL. All FCL sponsorship requests are submitted through the National Industrial Security System (NISS), the DCSA system of record for NISP. Submission of all FCL requests, change of conditions, clearance verifications or self-inspection certifications to NISS here.

Once a facility is cleared, DCSA has oversight authority to evaluate the security operations of the organization. The DCSA Industrial Security Representative (ISR) is the principal interface with cleared industry under the NISP. These individuals, spread across the United States in five geographic regions and 167 field locations, work in a professional partnership with the contractor's facility management staff and facility security officer to ensure the protection of classified information released under contractual obligations or research and development efforts.

### Getting Started

To get started with the FCL process, please see the following checklist for cleared contractors, U.S. Government Contractors, and U.S. Prime Contractors. Reviewing this information will help you avoid many of the common errors that delay packages.

- FCL Process Orientation Handbook
- Electronic Fingerprint Guide for In-Process Facilities
- Guide Facility Clearance Process Pamphlet
- Request - External Users

**FCL Handbook**

**DCSA Roadmap**

**NISPOM**

**NCAISS**

**DD-441 & SF-328**

**Completing your Investigation Request in e-QIP: Guide for the Standard Form (SF) 86**

**e-QIP**

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# COMMENTS AND THOUGHTS



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